**ANNEX 10: FICHES OF PROGRAMME INDICATORS**

**Second call for proposals – Regular projects**

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| **Row ID** | **Field** | **Indicator description** |
| 1 | **Indicator code** | **RCO07** |
| 2 | **Indicator name** | Research organisations participating in joint research projects |
| 3 | **Measurement unit** | Research institutions |
| 4 | **Type of indicator** | output |
| 5 | **Specific objective** | **SO1: Developing and enhancing research and innovation capacities and the uptake of advanced technologies** |
| 6 | **Definition** | This indicator counts the **number of supported research organisations that cooperate in joint research projects**.  **A joint research project** includes at least one research organisation and another partner. Cooperation in research and innovation activities may be new or existing, and it should last at least for the duration of the project supported.  The indicator covers **active** participations in joint research projects, and it excludes contractual arrangements with no active cooperation in the supported project (i.e. exclude cases where partners in a framework contract do not all participate in specific joint research cooperation).  **Research organizations are bodies with a primary goal to conduct independently fundamental research, industrial research and experimental development and to disseminate the results of such activities by way of teaching, publication or knowledge transfer.**  Multiple counting will be removed at the level of the specific objective. A research organisation is considered once regardless how many times it receives support from operations in the same specific objective.  This indicator will complement RCO87, although the same organization may be counted both under RCO07 and RCO87. The aim of RCO07 is to capture the number of research institutions supported while RCO87 reveals the cooperation dimension. |
| 7 | **Time measurement achieved** | Upon project finalization. |
| 8 | **Exemple** | * Universities or research institutes, * Technology transfer agencies, * Other research-oriented entities which fall under the above definition |
| 9 | **Notes** | To be counted and linked to RCO07, the partner(s) has(have) to:   * Be a research organisation; * Actively participate in the implementation of a **joint research** project. |

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| **Row ID** | **Field** | **Indicator description** |
| 1 | **Indicator code** | **RCO84** |
| 2 | **Indicator name** | **Pilot actions developed jointly and implemented in projects** |
| 3 | **Measurement unit** | Pilot actions |
| 4 | **Type of indicator** | output |
| 5 | **Specific objective** | **SO1: Developing and enhancing research and innovation capacities and the uptake of advanced technologies**  **SO3: Build up mutual trust, in particular by encouraging people-to-people actions**  **SO4: Promoting climate change adaptation, and disaster risk prevention, resilience, taking into account ecosystem-based approaches**  **SO7: Enhancing protection and preservation of nature, biodiversity and green infrastructure, including in urban areas, and reducing all forms of pollution** |
| 6 | **Definition** | The indicator counts the pilot actions developed jointly and implemented by supported projects.    The scope of a jointly developed pilot action could be to test procedures, new instruments, tools, experimentation or the transfer of practices.    In order **to be counted** by this indicator:  - **the pilot action needs not only to be developed, but also implemented** within the project  **and**  - **the implementation of the pilot action should be finalised by the end of the project**.  **Jointly developed pilot action implies the involvement of organizations from at least two participating countries.** |
| 7 | **Time measurement achieved** | Upon project finalisation |
| 8 | **Exemple** | * A small scale investment to test the effectiveness of a solution, * A data collection/algorithm/method etc. tested/piloted in a relevant organisation by the end of the project. |
| 9 | **Notes** | **A pilot action** is to be understood as a practical implementation of newly developed solutions.  A pilot action has an experimental nature which aims at **testing, evaluating and/or demonstrating the feasibility and effectiveness of a solution**. Therefore, it covers either the testing of innovative solutions or demonstrating the application of existing solutions to a certain territory/ sector.  In terms of links RCO84 may be used together with RCO104. |

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| **Row ID** | **Field** | **Indicator description** |
| 1 | **Indicator code** | **RCO87** |
| 2 | **Indicator name** | **Organisations cooperating across borders** |
| 3 | **Measurement unit** | organisations |
| 4 | **Type of indicator** | output |
| 5 | **Specific objective** | **SO1: Developing and enhancing research and innovation capacities and the uptake of advanced technologies**  **SO3: Build up mutual trust, in particular by encouraging people-to-people actions**  **SO4: Promoting climate change adaptation, and disaster risk prevention, resilience, taking into account ecosystem-based approaches**  **SO7: Enhancing protection and preservation of nature, biodiversity and green infrastructure, including in urban areas, and reducing all forms of pollution** |
| 6 | **Definition** | The indicator counts the organisations cooperating formally in supported projects.  **The organisations counted in this indicator are the legal entities as mentioned in the application.** |
| 7 | **Time measurement achieved** | Upon project finalisation |
| 8 | **Exemple** | Project partners. If in a project, there are 4 project partners, the target value will be 4 organisations cooperating across borders. |
| 9 | **Notes** | This indicator is compulsory for all projects |

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| **Row ID** | **Field** | **Indicator description** |
| 1 | **Indicator code** | **RCO115** |
| 2 | **Indicator name** | **Public events across borders jointly organized** |
| 3 | **Measurement unit** | Events |
| 4 | **Type of indicator** | output |
| 5 | **Specific objective** | **SO1: Developing and enhancing research and innovation capacities and the uptake of advanced technologies**  **SO4: Promoting climate change adaptation, and disaster risk prevention, resilience, taking into account ecosystem-based approaches**  **SO7: Enhancing protection and preservation of nature, biodiversity and green infrastructure, including in urban areas, and reducing all forms of pollution** |
| 6 | **Definition** | The indicator counts the number of **events across borders which were jointly organized** by the partners in supported projects, and not the number of participations in public events.  **A public event across borders** is understood as a joint action which has been advertised through relevant means, to the general public of the area covered by the programme **and is not dedicated to the partner institutions only**.  **A joint action** is considered as the action organized with **the involvement of organizations (partners) from at least two participating countries**, and involves stakeholders from outside the project consortium.    **A public event across borders** should have **participants from at least two countries** of the programme eligible area.  On line events will also be taken into consideration, if justified.  The participation of the project staff in public events is **not sufficient** for ensuring the condition of participants from at least two countries of the programme eligible area.  Internal working meetings, steering committees of the project staff **are not considered** as public events.  **It is compulsory to advertise to the general public the organization of the public event, through website, social media, and/or local/national media.** |
| 7 | **Time measurement achieved** | Upon project finalization. |
| 8 | **Exemple** | * launching/final Conference of the projects, * trainings, * workshops and seminars organized in the framework of the project, etc |
| 9 | **Notes** | To be counted and linked to RCO115, the event(s) have(has) to:   * Be advertised to the general public, and * Be jointly organised by at least 2 partners, and * Involve stakeholders outside partnership from at least 2 countries. |

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| **Row ID** | **Field** | **Indicator description** |
| 1 | **Indicator code** | **RCO116** |
| 2 | **Indicator name** | **Jointly developed solutions** |
| 3 | **Measurement unit** | Solutions |
| 4 | **Type of indicator** | output |
| 5 | **Specific objective** | **SO1: Developing and enhancing research and innovation capacities and the uptake of advanced technologies**  **SO3: Build up mutual trust, in particular by encouraging people-to-people actions**  **SO4: Promoting climate change adaptation, and disaster risk prevention, resilience, taking into account ecosystem-based approaches**  **SO7: Enhancing protection and preservation of nature, biodiversity and green infrastructure, including in urban areas, and reducing all forms of pollution** |
| 6 | **Definition** | The indicator counts the number of **jointly developed solutions from joint actions** (including as a result of pilot actions) implemented by supported projects.  In order to be counted in the indicator, an identified solution should include clear indications of the actions needed for it to be taken up or to be upscaled.  **A solution** is an action or a process of solving a problem.  **A jointly developed solution** implies the involvement of organizations from at least two participating countries in the drafting and design process of the solution. |
| 7 | **Time measurement achieved** | Upon project finalization. |
| 8 | **Exemple** | Jointly developed platform, IT tool, data base, data collection method, etc. |
| 9 | **Notes** | To be counted and linked to RCO116, the main identified output (e.g platform, IT tool, etc) has to:   * Be jointly developed by project partners from at least 2 participating countries, * Be developed by project partners during project implementation, * Include indications of the actions needed for it to be taken up or to be up scaled. |

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| **Row ID** | **Field** | **Indicator description** |
| 1 | **Indicator code** | **RCR84** |
| 2 | **Indicator name** | **Organizations cooperating across borders after project completion** |
| 3 | **Measurement unit** | organisations |
| 4 | **Type of indicator** | result |
| 5 | **Specific objective** | **SO1: Developing and enhancing research and innovation capacities and the uptake of advanced technologies**  **SO3: Build up mutual trust, in particular by encouraging people-to-people actions**  **SO4: Promoting climate change adaptation, and disaster risk prevention, resilience, taking into account ecosystem-based approaches**  **SO7: Enhancing protection and preservation of nature, biodiversity and green infrastructure, including in urban areas, and reducing all forms of pollution** |
| 6 | **Definition** | The indicator counts the organizations cooperating across borders after the completion of the supported projects.  **The organizations are legal entities involved in project implementation.**  **The cooperation concept** should be interpreted as **having a statement that the entities have a formal agreement to continue cooperation, after the end of the supported project**. The sustained cooperation does not have to cover the same topic as addressed by the completed project.  The evidence on the commitment of the partner organizations to cooperate after the project ends its implementation period will be a **cooperation agreement** signed during the contracting stage.  Multiple counting will be removed at the level of the specific objective. An organisation is considered once regardless how many times it receives support from operations in the same specific objective. |
| 7 | **Time measurement achieved** | During project implementation or up to one year after project completion. Intermediate values can be collected for reporting purposes also during projects implementation. |
| 8 | **Exemple** | Out of a project partnership of 6 organisations, only **2 (or n)** of them decide to continue cooperation after project completion on a similar or different topic from the one tackled in the implemented project; during contracting stage they sign a cooperation agreement. |
| 9 | **Notes** | Link one of the selected programme output indicators (e.g RCO07, RCO87, RCO115 or RCO116) with RCR 84. Target value of RCR 84 will be **2 (or n).**  Not all the project partners have to sign the formal agreement to continue cooperation, after the end of the supported project, two is enough.  The other project partners may join and sign the cooperation agreement at a later stage before the end of the project. |

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| **Row ID** | **Field** | **Indicator description** |
| 1 | **Indicator code** | **RCR104** |
| 2 | **Indicator name** | **Solutions taken up or up-scaled by organisations** |
| 3 | **Measurement unit** | solutions |
| 4 | **Type of indicator** | result |
| 5 | **Specific objective** | **SO1: Developing and enhancing research and innovation capacities and the uptake of advanced technologies**  **SO3: Build up mutual trust, in particular by encouraging people-to-people actions**  **SO4: Promoting climate change adaptation, and disaster risk prevention, resilience, taking into account ecosystem-based approaches**  **SO7: Enhancing protection and preservation of nature, biodiversity and green infrastructure, including in urban areas, and reducing all forms of pollution** |
| 6 | **Definition** | The indicator counts **the number of solutions and/or pilot actions**, other than legal or administrative solutions, **that are developed by supported projects and are taken up or upscaled during the implementation of the project or within one year after project completion**.  The organization adopting the solutions developed **by the project may or may not be** **a participant in the project.** |
| 7 | **Time measurement achieved** | Upon project finalisation **or** up to one year after project completion |
| 8 | **Exemple** | A jointly **developed** platform, IT tool, data base, data collection method, solution, etc. (which could also be piloted/tested) is actually **implemented** by one (or several) of the partners in their own organisation(s) or in other organisation(s) during the project duration or within one year after project completion.  In brief:   1. **Develop** (RCO116) 2. Pilot/test (RCO84) 3. **Implement** (RCR104) |
| 9 | **Notes** | In terms of links with common output indicator, RCR104 is used together with RCO116 and/or RCO84.  The **uptake / up-scaling should be documented** by the adopting organizations in, for instance, strategies, action plans etc. |